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IN THE UNITED SEATES PATENT AND TRADEMARK OFFICE

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In re application of:

Uwe HEITMANN

Appl. No. 10/057,929

Confirmation No. 3754

Filed: January 29, 2002

For: MACHINE FOR MAKING FILTER MOUTHPIECES FOR ROD-

SHAPED SMOKERS' PRODUCTS

Art Unit: 3721

Examiner: Sameh Tawfik

Atty. Docket No. 41653-188398

Customer No.

26694
PATENT TRADEMARK OFFICE

Information Disclosure Statement Under 37 C.F.R. § 1.97(c) and 1.97(e)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached is a PTO SB 08A listing the documents to be considered by the Examiner.

One copy of each document is also attached.

Pursuant to 37 C.F.R § 1.97(e)(1), on information and belief each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. The information was cited in the European Search Report in a counterpart European application. A copy of the European Search Report is enclosed.

U.S. Patent No. 3,603,058, also cited in the European Search Report, is not enclosed because it has already been submitted with the Information Disclosure Statement filed on January 29, 2002.

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Applicant: Uwe HEITMANN Appl. No. 10/057,929

In view of the above, no translation or statement of relevance is required, and as all requirements of 37 C.F.R. § 1.97 and all official guide lines pertaining to Information

Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the documents and make them of record.

Respectfully submitted,

Date: <u>05/28/04</u>

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Substitute for form 1449A/PTO

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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known

Application Number 10/057,929

Filing Date January 29, 2002

First Named Inventor Uwe HEITMANN

Group Art Unit 3721

Examiner Name Sameh Tawfik

Attorney Docket Number 41653-188398

1				U.S. PATENT DOCUM	MENTS		
		U.S. Patent Document Kind Code ² (if known)		Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Examine Initials *	Cite No. ¹			of Cited Document	Cited Document MM-DD-YYYY		
	A	3,370,51	14	ROME	02-27-1968		
	В	3,354,88	37	HALL	11-28-1967		
	С	3,715,95	57	HALL	02-13-1973		
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cita	Foreign Patent Document			Name of Patentee	Date of Publication of	Pages, Columns, Lines, Where Relevant	
	Cite No. ¹	Office ³	Number ⁴	Kind Code ⁵ (if known)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T ₆
	D	ĞB	741,429			12-07-1955		
	Е	GB	1 251 241			10-27-1971		
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Examiner Signature	Date Considered	

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.